

APCC

ASSOCIATION OF  
PROFESSIONAL  
COMPLIANCE  
CONSULTANTS.

# Raising Compliance Standards

Creating a dynamic link between regulators, the consulting community and regulated firms.



# Inspiring compliance. Supporting leaders.



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## Contents

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### About us

Established to develop and embed regulatory standards

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### Representation

Be represented and influence at the highest levels of regulation

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### Events

Receive invitations to regular events, conferences, and seminars with high-calibre speakers and the chance to meet your peers

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### Benefits

Enjoy benefits in the areas of insurance, business services and training

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We are pleased to represent the consultancy community at a time of great regulatory change and innovation. The APCC's members have a vital role to play in leading, influencing and embedding regulatory change in the right way.

Membership of the APCC equips you with a range of resources, including regulatory insight, training, professional expertise, networking opportunities and the opportunity to influence the development of future regulation; all of which strengthen your success.

Within these pages you will find details of some of the benefits APCC membership can offer and how they can bring real value to your consultancy business.

We do hope that we can look forward to welcoming you as a member soon.

# About us.

The recognised trade body for UK Financial Services Compliance Consultants.

The APCC was setup to provide a forum for compliance consultants and the FSA (now FCA) to meet and discuss issues of joint interest.

Membership of the APCC is open to compliance consultancy firms who support the APCC's objectives and principles, agree to comply with the APCC Code of Ethics, which include the APCC Professional Standards, and meet its eligibility criteria.



The APCC was formed with the following clear objectives:

- 1**  
**SET STANDARDS**  
Promote the professional standards of its members
- 2**  
**COMMUNICATE**  
Provide a clear regulatory communications channel
- 3**  
**PROMOTE**  
Provide information on services provided by members
- 4**  
**ACCREDIT**  
Recognised mark of quality and standards for consultants
- 5**  
**REPRESENT**  
Recognised as a Trade Body by all key stakeholders

**Apply for membership:**

Online [www.apcc.org.uk](http://www.apcc.org.uk)

T +(44) 0203 693 3805

Suite 15, Birkdale Business Centre  
Weld Road, Birkdale PR8 2DT



## Our Principles.

Integrity

Competence

Professional Standards

Objectivity

Confidentiality

Good standing

## Our Members.

Our members come from all sectors of the financial services industry and advise over 12,500 regulated firms.

Many firms specialise in a specific sector such as fund management or retail investment intermediaries and, in a sign of the times, we are now very active in the Consumer Credit and Regulatory Hosting, Brexit, PSD2 and SM&CR arenas. Other members have cross sector capability and range from sole traders to the largest consultancies in the sector, all benefitting from the membership as part of the wider consulting community.

# Our diversity.

Geographic and sector coverage.

Our membership covers the breath of the United Kingdom, providing professional compliance advice to all sectors, including:

- ◆ Banks and Deposit Services
- ◆ Building Societies
- ◆ Consumer Credit
- ◆ General and other Insurance
- ◆ Independent Financial Advisors
- ◆ Institutional Investment Managers
- ◆ Insurance Brokers
- ◆ Investment Managers
- ◆ Payment Services
- ◆ Regulatory Hosting



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# Representation.

With us, you have genuine influence in the corridors of regulation.

We put forward your views to the decision makers at the heart of policymaking in key UK Financial Service Regulators and Stakeholders.

- ◆ Your interests will be actively represented at both a local and national level
- ◆ By joining one of our working groups, you will have the opportunity to make your views clear
- ◆ We listen to what our members want - you can influence what we campaign for
- ◆ You'll be given access to policy consultations, debates and engagement seminars, through which you can put your views across.



“As a busy consultant, I have little time for engaging and limited influence on all the policy matters that I would like to. Being a member of the APCC enables me to easily have my say on a larger scale.”

# Events.

Meet fellow consultants and learn the latest in style.

Our members benefit not only from the dialogue we have with industry bodies, but from our regular forums, technical briefings and networking interaction with other members.

- ◆ You'll be invited to networking events and forums at prestigious venues
- ◆ You'll hear directly from relevant speakers on topics that affect compliance and consultants
- ◆ You'll be able to count on a discount of at least 20% on ticket prices.



# In the know.

The APCC also has an interactive website for members to post topics or queries, as well as a LinkedIn group and Twitter providing constant access to a wide community of consultancy knowledge.

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# Benefits.

Before you get to the application form, take a quick look at the benefits.

This is what you can look forward to as an APCC member.



Benefits include:

- ◆ Access to the FCA, PRA and other key industry stakeholders via APCC meetings and discussions
- ◆ Priority advice and information on regulatory themes and new initiatives
- ◆ Listing on the APCC Member or Affiliate Member Directory
- ◆ Priority booking at APCC events
- ◆ Access to the members only area of the APCC website and LinkedIn groups
- ◆ Access to cost effective PI insurance
- ◆ Enhanced professional standing

Members can also describe themselves as 'Members of the Association of Professional Compliance Consultants' or as 'Members of the APCC'.

The APCC promotes enhanced professional standards for compliance consultants and is recognised as the trade body for compliance consultants by the FCA.

Compliance consultancy firms who support the APCC's objectives and successfully complete the application process are eligible to become members of the APCC.

Other providers offering products and services to the financial services and related compliance consultancy sectors are eligible to become Affiliate Members of the APCC. [Additional details upon request.](#)

[Apply for membership:](#)

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