



The Association of Professional Compliance Consultants

The Body for Compliance Consultants to firms regulated by the Financial Services Authority

Regional Compliance Consultants Forum

Thursday 9th July 2009

Venue: The offices of the Financial Services Authority in Edinburgh
1st Floor, Quayside House, 127 Fountainbridge, Edinburgh EH3 9QG

An event arranged on your behalf including:

- presentations on topical regulatory issues
- an opportunity to ask questions directly of the FSA speakers
- networking with other professional consultants and meeting representatives of the APCC

PROGRAMME

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|-----------------|---|
| 09:30 – 10:00 | Registration, tea and coffee Caroline Hayes, APCC |
| 10:00 – 10:10 | Welcome to delegates Caroline Hayes, Chairman of the Forum Event |
| 10:10 – 10:20 | The FSA in Edinburgh Andrew Johnstone, Associate, Investments Department, FSA Edinburgh Office |
| 10:20 – 11:00 | Treating Customers Fairly To be presented by a member of the TCF Assessment Team, FSA Edinburgh Office |
| 11:00 – 11:10 | Comfort break |
| 11:10 – 11:50 | Financial and prudential issues for retail and institutional firms Graham Dorward and Andrew Johnstone, Associates, Insurance and Investments Departments, FSA Edinburgh Office |
| 11:50 – 12:30 | FSA's compliance consultants training programme including thematic programmes for 2009 Andrew Johnstone, Associate, Investments Department, FSA Edinburgh Office |
| 12:30 – 12:45 | The APCC in 2009 followed by summary and close of Formal Business Caroline Hayes, Chairman of the Forum Event |
| 12:45 – onwards | Networking with light buffet lunch |

Registration form - Edinburgh - Thursday 9th July 2009

If you have any enquiries on this form please send an e-mail to hilary.spivey@apcc.org.uk or fax to the APCC on 0207 692 7509. Telephone enquiries can also be made to 0845 241 2565. If you are registering more than one delegate then please complete a separate form per delegate, but send the completed forms with one cheque as set out below.

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|---|--|
| Delegate Details | |
| Delegate name (in full) | |
| Job Title | |
| Delegate email address | |
| Delegate telephone no.. | |
| Name of firm | |
| Address of firm | |
| Who should the VAT receipt for the delegate fee be issued to | |
| If you want confirmation of this booking sent by email to anyone in addition to the delegate, please state here | |
| Please state if you have any dietary requirements | |

To Register

Complete the delegate details section and send the registration form with the attendance fee for £86.25 made payable to the APCC to: Hilary Spivey, The Association of Professional Compliance Consultants, 26 Throgmorton Street, London EC2N 2AN.

Confirmation and VAT receipt

Once your registration form has been received and processed, we will email to you confirmation of your booking and a VAT receipt.

Enquiries

If you have any enquiries on the event or the booking form then please contact Hilary Spivey either by e-mail at admin@apcc.org.uk or by fax on 0207 692 7509.

Data Protection

The personal information provided by you will be held on a database so we can send you information on APCC events. If you do not wish to receive these details, please tick here _____. Your personal information may also be passed to the Financial Services Authority so that you can be sent information on future FSA events.

Cancellation

If you book a place and are unable to attend, please inform us as soon as possible. No refund of fees paid will be made for cancellations less than 14 days before the event. A full refund of fees paid will be made for cancellations notified before that date.