

The Association of Professional Compliance Consultants

The Body for Compliance Consultants to firms regulated by the Financial Services Authority

London Compliance Consultants Forum

Tuesday 7 March 2006

Venue: The Financial Services Authority, 25 The North Colonnade, Canary Wharf, London E14 5HS

The programme starts at 10:30 with registration from 10:00 onwards. Lunch will be provided and the programme is scheduled to conclude by 17:15.

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| 10:00 - 10:30 | Registration, tea and coffee |
| 10:30 - 10:40 | Welcome and introduction
Ralph Lindeyer, Chairman of the APCC |
| 10:40 - 11:10 | Keynote Speaker
Michael Lord, Head of Department, Small Firms Division, FSA |
| 11:10 - 11:50 | CRD and MiFID – a general overview
Jonathan Fischel, Head of Department, Retail Firms Division |
| 11:50 - 12:30 | The New JMLSG Guidance Notes
Peter O’Sullivan: Representative board member of the JMLSG and Group MLRO of the Liverpool Victoria |
| 12:30 – 13:30 | Lunch |
| 13:30 - 14:00 | Treating customers fairly
Chris Atkinson, Retail Themes Division, FSA |
| 14:00-15:30 | Retail: RMAR presentation and discussion/other retail issues
Joanna Sheen, Integrated Regulatory Reporting, FSA |
| OR | |
| 14:00-15:30
(Cttee rm G) | Institutional: CRD and discussion/other institutional issues
Dan Batchelor, Wholesale & Prudential Policy Division |
| 15:30 – 15:45 | Tea |
| 15:45 – 16:45 | APCC issues: including a presentation from John Bourbon of the Compliance Institute |
| 16:45 – 17:00 | Chairman’s summary and closing remarks |
| 17:00 – 17:15 | APCC Annual General Meeting |

If you have any questions then please do not hesitate to contact Hilary Spivey at hilary.spivey@apcc.org.uk at the Association of Professional Compliance Consultants, 26 Throgmorton Street, London EC2N 2AN.