



London Compliance Consultants Forum

Tuesday 9th March 2010

Venue: The Large Pension Room, The Honourable Society of Gray's Inn, 8 South Square, London WC1R 5ET

An event arranged on your behalf including:

- presentations on topical issues by the FSA and industry speakers
- an opportunity to ask questions directly of the speakers
- networking with other professional consultants and meeting representatives of the Association

PROGRAMME

09:30 - 10:00	Registration, tea and coffee
10:00 - 10:20	Welcome and introduction Simon Collins, Chairman of the APCC
10:20 - 10:40	Keynote Speech Linda Woodall, Head of Savings & Investments Department, Small Firms & Contact Division, FSA
10:40 - 11:20	Permissions and Authorisations Overview Sharon Campbell, Head of Permissions Department, FSA
11:20 - 12:00	Financial Crime Martin Churchill, Principal Officer, Serious Organised Crime Agency
12:00 - 12:40	Professional Standards for the Compliance Function: BSI initiative Peter Haines, Chairman BSI Steering Group
12:40 - 14:00	Lunch
14:00 - 14:40	Compliance Consultants Training Andrew Johnstone, Small Firms & Contact Division, FSA
14:40 - 15:20	A fast-developing landscape: dealing with FSA enforcement in 2010 Nathan Willmott, Partner, Berwin Leighton Paisner
15:20 - 15:40	Tea
15:40 - 16:20	PI Insurance: An overview for consultants and their clients Charles Wintour, Towergate Risk Solutions and Mike Kemble of Aspen Insurance UK
16:20 - 17:00	APCC: Professional Standards for Consultants Simon Collins, Chairman of the APCC
17:00 - 17:15	Chairman's summary and closing remarks Simon Collins, Chairman of the APCC
17:15 - 17:30	APCC: AGM Simon Collins, Chairman of the APCC

A networking session will be hosted by the APCC in the Cellar Bar of the Cittie of Yorke, which will be available to delegates from 17:15.

If you have any enquiries on this form please send an e-mail to hilary.spivey@apcc.org.uk or fax to the APCC on 0207 692 7509. Telephone enquiries can also be made to 0845 241 2565. If you are registering more than one delegate then please complete a separate form per delegate.

Delegate details:

Delegate name (in full)						
Your firm's name						
Your e-mail address						
Contact phone no.						
Your firm's address: <i>Non member firms only</i>						
Your area(s) of specialism – please circle/tick		Institutional	IFA	Mortgage broking	Insurance broking	Other
If other - please describe						
Is your firm an APCC member - please circle/tick	Yes	Are you a Compliance Institute member or an ICAEW Financial Services Faculty member			Yes	
	No				No	
If you answered Yes to any of the above, the delegate fee is £88.13 otherwise it is £176.25. Please circle/tick the fee enclosed/paid by BACS				£88.13	£176.25	
Payment method please circle/tick		Cheque	BACS	If by BACS, payment date		
Who should the VAT receipt be made out to (your firm (default) or you)						
Please state any dietary requirements						

VAT Receipt: A VAT receipt will be issued by e-mail for each payment received. The receipt will be sent by email to the delegate e-mail addresses shown on the completed forms. If you would like the invoice to be sent to a further email address then please indicate here:

.....

To register: Complete the delegate details section and send the registration form(s) and your cheque (if paying by cheque) to: Hilary Spivey, Association of Professional Compliance Consultants, 26 Throgmorton Street, London EC2N 2AN or to hilary.spivey@apcc.org.uk if completing the form and paying online.

Cheques should be made payable to APCC. If paying by BACS the registration forms can be faxed to 0207 692 7509 or scanned and emailed through to hilary.spivey@apcc.org.uk.

For BACS payments our bank account is HSBC, sort code 40-43-23 account no. 81360590. Please use your email address as the beneficiary reference.

Delegate rates: APCC and Compliance Institute Members: £88.13 (£75 plus VAT). Non-members: £176.25 (£150 plus VAT)

Allocation: Delegates from member firms will be allocated places at the forum on a first come first served basis. Registrations from non-member firms will be allocated places at the forum as from 19

February. Registrations received thereafter will be allocated on a first come first served basis. If you are not allocated a place then your fee will be refunded in full.

Joining Instructions: Once you have been allocated a place, we will confirm this to you by e-mail and include details of the venue and a map.

Data Protection: The personal information provided by you will be held on a database so we can send you information on APCC events and may be passed to the FSA. If you do not wish to receive these details, please tick here

Change of delegate: If you are unable to attend the forum and one of your colleagues is attending in your place please confirm this change to hilary.spivey@apcc.org.uk

Cancellation: If you book a place and are unable to attend, please inform us as soon as possible. If you cancel more than 14 days prior to the event your fee will be refunded less a £25 plus VAT handling charge. If you cancel 14 days or less prior to the event no fee will be refunded.