



The Association of Professional Compliance Consultants

The Body for Compliance Consultants to firms regulated by the Financial Services Authority

Welcome to the APCC Forum July 2009

Caroline Hayes

Association of Professional Compliance Consultants

Programme

- 10:00 **Welcome:** Caroline Hayes, APCC Chair of the Forum Event
- 10:10 **The FSA in Edinburgh:** Andrew Johnstone, Associate
- 10:20 **Progress on the Enhanced Strategy:** Lynsey Morton, Associate
- 11:00 **Comfort break**
- 11:10 **Financial and prudential issues for retail and institutional firms:** Graham Dorward and Andrew Johnstone, Associates
- 11:50 **FSA's compliance consultants training programme:** Andrew Johnstone
- 12:30 **The APCC in 2009 followed by summary and close of Formal Business:** Caroline Hayes
- 12:45 **Networking with light buffet lunch**



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Over to the FSA



What we plan for 2009 in to 2010

- Continue the engagement with members with regular polling/dialogue and relevant updates
- Concentrate development and of professional standards for APCC members and their implementation
- Establish APCC membership as a differentiating factor in selecting a compliance consultant
- Participate fully with FSA's projects where possible
- Develop other member benefits eg PI Insurance
- National and Regional Forum/Meeting plus development of consultant discussion groups
- Improve the profile of APCC members with the FSA and raise profile with regulated firms and the industry
- Maintain links with other compliance/industry bodies and develop where beneficial



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Thank you for coming