

The Association of Professional Compliance Consultants

The Body for Compliance Consultants to firms regulated by the Financial Services Authority

London Compliance Consultants Forum

Wednesday 5th March 2008

Venue: The Large Pension Room, The Honourable Society of Gray's Inn, 8 South Square, London WC1R 5ET

An event arranged on your behalf including:

- presentations on topical relevant issues by the FSA
- an opportunity to ask questions directly of the FSA speakers
- networking with other professional consultants and meeting representatives of the Association

PROGRAMME

09:30 – 10:00	Registration, tea and coffee
10:00 – 10:20	Welcome and introduction Simon Collins, Chairman of the APCC
10:20 – 10:40	FSA Keynote Speaker Lesley Titcomb, Director of Small Firms & Contact Division, and Retail Intermediaries Sector Leader
10:40 – 11:20	Principles-based regulation: issues for consideration Paul Hunter, Head of Regulatory Strategy Department, FSA
11:20 – 12:00	Risks and issues in the retail intermediaries and asset management sectors Clive Parker, Retail Intermediaries Sector Team, FSA Brandon Horwitz, Asset Management Sector Team, FSA
12:00 – 12:55	Lunch
12:55 – 13:35	Treating customers fairly: current issues Jackie Doyle-Price, TCF Policy, FSA
13:35 – 14:15	Arrow II Joe Traynor, Strategy & Risk Manager, FSA
14:15 – 14:55	Financial Crime: issues for consultancies Edna Young, Financial Crime Operations Manager, FSA
14:55 – 15:15	Tea
15:15 – 15:30	Chairman's summary and closing remarks Simon Collins, Chairman of the APCC
15:30 – 17:00	APCC matters: AGM and future strategy Simon Collins, Chairman of the APCC